

# 2009 NCAA PENALTY ENFORCEMENT

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**The Three-and-One Principle (Rule 2-33)** is as follows:

1. When the team in possession commits a foul *behind* the basic spot, the penalty is enforced at the spot of the foul.
2. When the team in possession commits a foul *beyond* the basic spot, the penalty is enforced at the basic spot.
3. When the team not in possession commits a foul *either behind or beyond* the basic spot, the penalty is enforced at the basic spot.

	Offense	Defense
Beyond the Basic Spot	Enforce at Basic Spot	Enforce at Basic Spot
Behind the Basic Spot	Enforce at Spot of Foul	Enforce at Basic Spot

## Type of Play

### Dead Ball / or Fouls Prior to the Snap

#### Simultaneous w/ Snap or Free kick

*Exceptions:*

Fouls (Except KCI) by Kicking Team during Free or Scrimmage Kicks (*Except Field Goals*)

### Running Play (NOTE: 2008 change – can be more than one run behind the NZ)

Beyond the neutral zone

Behind the neutral zone

No neutral zone

*Exceptions:*

Offensive team contact fouls against the opponent behind the neutral zone are enforced from the previous spot. Safety if the fouls occurs behind Team A's goal line.

### Legal Forward Pass Play

*Exceptions:*

Team B Pass Interference

Roughing the Passer

(If no change of possession during the down. Fumble thru Team B end zone is **not** COP during down.)

Illegal Touching

Offensive team contact fouls against the opponent behind the neutral zone are enforced from the previous spot. Safety if the fouls occurs behind Team A's goal line.

### Illegal Forward Pass Play

*Exceptions:*

If thrown from end zone

Intentional Grounding to save yardage

### Free Kick Play

*Exceptions:*

Fouls (Except KCI) by Kicking Team

Interference with opportunity to catch

Block or foul after signal (valid or invalid)

### Scrimmage Kick Play

*Exceptions:*

Fouls (Except KCI) by Kicking Team (*Except Field Goals*)

Interference with opportunity to catch

Block or foul after signal (valid or invalid)

Offensive team contact fouls against the opponent behind the neutral zone are enforced from the previous spot.

Safety if the fouls occurs behind Team A's goal line.

### Postscrimmage Kick Fouls (PSK)

- Scrimmage kicks (other than tries, successful field goals, and during extra periods)
- Kick goes beyond NZ. (**Does not need to remain beyond**)
- Fouls by Team B
- 3 yards or more beyond the NZ.
- Team A not in possession at end of down.

## Basic Spot

### Succeeding Spot

#### Previous Spot

Or subsequent dead ball spot where ball belongs to Team B.

End of the related run

Previous Spot

End of the related run

#### Previous Spot

Spot Foul

(If less than 15 yards beyond L O S)

End of the last run (beyond LOS)

Previous Spot

#### Spot of the foul

Safety or next put in play at previous spot

Loss of Down only at spot of illegal pass

Previous Spot

Or subsequent dead ball spot

where ball belongs to B

Spot Foul

Spot Foul

Previous Spot

Or Subsequent Dead Ball Spot

where ball belongs to B.

Spot Foul

PSK; or, Basic Spot

#### Spot where kick ends

(20 yd line on all kicks that end in end zone)

## Interval

### During Field Goal

**If Field Goal is successful**, to accept points, Team A must decline Team B live ball fouls.

A successful field goal may be canceled and the penalty enforced at the previous spot.

(NOTE: PSK no longer applies to successful Field Goals in regulation as Team A is in possession at the end of the down. **AR 10-2-2-XXIV, FI-82**)

**If Field Goal is unsuccessful:**

Team A fouls would be declined by Team B.

Team B non-PSK fouls would be accepted by Team A and enforced at the previous spot.

Team B PSK fouls would be enforced by rule w/ either previous spot or 20 yard line as basic spot.

'Live ball as dead ball fouls' and **dead ball fouls** are enforced at succeeding spot.

## Enforcement

**Live ball fouls** are enforced by rule.

### During Touchdown

Enforce **personal fouls by opponent of scoring team** either **on the try** or **succeeding kickoff**. All other live ball fouls are declined by rule.

When a live-ball foul penalized as a dead-ball foul occurs on the touchdown play, enforcement is **on the try** or **the succeeding kickoff**. (10-2-2-g-3)

### After a Touchdown / Before the Ready

When a foul(s) occurs after a touchdown and before the ball is ready for play on the try, **enforcement is on the try** or **the succeeding kickoff**. (10-2-2-g-3)

**NOTE: Runner B17 taunts** pursuing A55 prior to scoring a touchdown on an intercepted pass. After B17 crosses the goal line, he is tackled by A55 five yards into the end zone. **RULING: The penalties cancel since neither has been completed. The try will be at the three-yard line.** (AR 10-1-5 VIII, FI-68)

### After the Ready for Play & Before the Snap

Enforce at succeeding spot before next snap

### During the Try Before Change of Possession

#### Offsetting Fouls

**If both teams foul during the down and Team B fouls before the change of possession, the fouls offset and the down is replayed, even if additional fouls occur after the change of possession.** Any replay after offsetting penalties must be from the previous spot.

#### Foul by Team B

Team A has option of:  
Declining score and repeating try (from any point on or between the inbounds lines) after enforcement; or,  
Accepting the score with **personal fouls enforced** on the **succeeding kickoff or from the succeeding spot in extra periods** (No PSK on Try.)

#### Foul by Team A

Decline penalty; or, replay from spot where penalty leaves the ball. If **Loss-of-down foul** try is over, score is cancelled; **no yardage penalty is enforced at succeeding kickoff**.  
If before a **change of team possession** Team A commits a foul that is **not offset**, and **during the down** there is **neither another** change of team possession **nor a score**, the penalty is **declined by rule**.

#### Dead-ball Enforcement

'Live ball as dead ball fouls' are enforced on the **succeeding kickoff or from the succeeding spot in extra periods**.  
If the try is replayed, the penalties are enforced before the replay.

### During the Try After Change of Possession

**Penalties** against either team are **declined by rule**. **Flagrant personal fouls**, 'Live ball as dead ball fouls' and **dead ball fouls** are enforced at succeeding kickoff or spot in extra periods. **Scores by team committing a foul during the down are canceled. Down is not replayed.**

**NOTE:** If both teams foul during the down and Team B had not fouled before the change of possession, the fouls offset, the down is not replayed, and the try is over.

## After the Try

Enforce on succeeding kickoff or from succeeding spot in extra periods.  
If the try is replayed, the penalties are enforced before the replay.

## DEAD BALL PENALTY ENFORCEMENT

**Basic Spot** for all Dead Ball Fouls and  
Live Ball fouls enforced as Dead Ball fouls (*except in Extra Periods*)

### Succeeding Spot

If foul occurs:

After down ends and before ready for play

**Enforce before setting chains**  
(Always 1<sup>st</sup> and 10 or less)

After a series ends and before ready for play

**Enforce before setting chains**  
(Always 1<sup>st</sup> and 10 or less)

After the ready for play and before the snap

**Enforce after chains are set**  
(Possible 1<sup>st</sup> and 15, etc.)

**NOTE:** Team A's ball, third and four from the 50-yard line. After the ball is declared ready for play and before the snap, B60 charges across the neutral zone and contacts snapper A50. A61 then fouls B60. A61's foul is a personal foul. **RULING:** Penalize Team B five yards for B60's offside, then penalize Team A15 yards **and reset the line-to-gain indicator to indicate first and 10** from Team A's 40-yard line. (AR 5-2-7 VI FI-20)

For unsportsmanlike or personal fouls by both teams

Fouls offset / Penalties cancel  
Number / type of down unaffected

Where:

Order of occurrence cannot be determined; or,  
Before any of the fouls have been completed

Penalties are canceled  
Disqualified player must leave

## CHANGE OF POSSESSION: THE GOAL LINE

**Key question is: "Where did the change of possession take place?"**

### Change of possession in the field of play; and, ball becomes dead in the end zone

Result of play is safety (*exception: momentum*)

Foul by team in possession after change

Safety – Basic spot is  
end of run in end zone

Foul by opponent of team in possession

Enforce from the goal line

NOTE: For momentum

Basic Spot is 'momentum spot'

Safety for fouls in the end zone

### Change of possession in the end zone; and, ball becomes dead in the end zone

Result of play is a touchback

Foul by either team

Basic spot is 20-yard line

Use Basic Spot enforcement

Ball is fumbled forward and OB

Result of play is touchback

Return to spot of fumble

Use Basic spot at 20-yard line

### Change of possession in the end zone; and, ball is fumbled and recovered in field

Result of play is no longer touchback

Foul by team in possession after change

Safety – Basic spot is:  
end of run in end zone

Foul by opponent of team in possession

Enforce from the goal line

## OVER TIME PENALTY ENFORCEMENT

For fouls **before** Team B possession:

All fouls are enforced as in regulation periods. (*Except: No PSK. Enforce at Previous Spot.*)

Carried over to next series or period if necessary

For fouls **after** change of team possession:

1. Distance penalties against either team are declined by rule in extra periods (Exceptions: Penalties for flagrant personal fouls, dead-ball fouls and live-ball fouls treated as dead-ball fouls are enforced on the succeeding play).

2. A score by a team committing a foul during the down is canceled.

3. If both teams foul during the down and Team B had not fouled before the change of possession, the fouls offset and the down is not replayed.

**NOTE:** During the first series of an extra period, B37 intercepts a forward pass and has a clear field to the goal line where he makes an obscene gesture toward the nearest opponent. Ruling: Team B's score is canceled and Team B begins its series at the 40 yard line (3-1-3, 3-1-3-g-1, 2). (2004 NCAA Bull. # 3)